

General Assembly

Raised Bill No. 383

February Session, 2008

LCO No. 1888

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Referred to Committee on Select Committee on Aging

Introduced by: (AGE)

## AN ACT CONCERNING A NURSING HOME BILL OF RIGHTS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. Section 19a-550 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2008*):
- (a) (1) As used in this section, (A) "nursing home facility" shall have 3 the same meaning as provided in section 19a-521, and (B) "chronic 4 5 disease hospital" means a long-term hospital having facilities, medical 6 staff and all necessary personnel for the diagnosis, care and treatment 7 of chronic diseases; and (2) for the purposes of subsections (c) and (d) 8 of this section, and subsection (b) of section 19a-537, "medically 9 contraindicated" means a comprehensive evaluation of the impact of a 10 potential room transfer on the patient's physical, mental and 11 psychosocial well-being, which determines that the transfer would 12 cause new symptoms or exacerbate present symptoms beyond a 13 reasonable adjustment period resulting in a prolonged or significant 14 negative outcome that could not be ameliorated through care plan 15 intervention, as documented by a physician in a patient's medical 16 record.

(b) There is established a patients' bill of rights for any person admitted as a patient to any nursing home facility or chronic disease hospital. The patients' bill of rights shall be implemented in accordance with the provisions of Sections 1919(b), 1919(c), 1919(c)(2), 1919(c)(2)(D) and 1919(c)(2)(E) of the Social Security Act. The patients' bill of rights shall provide that each such patient: (1) Is fully informed, as evidenced by the patient's written acknowledgment, prior to or at the time of admission and during the patient's stay, of the rights set forth in this section and of all rules and regulations governing patient conduct and responsibilities; (2) is fully informed, prior to or at the time of admission and during the patient's stay, of services available in the facility, and of related charges including any charges for services not covered under Titles XVIII or XIX of the Social Security Act, or not covered by basic per diem rate; (3) is entitled to choose the patient's own physician and is fully informed, by a physician, of the patient's medical condition unless medically contraindicated, as documented by the physician in the patient's medical record, and is afforded the opportunity to participate in the planning of the patient's medical treatment and to refuse to participate in experimental research; (4) in a residential care home or a chronic disease hospital is transferred from one room to another within the facility only for medical reasons, or for the patient's welfare or that of other patients, as documented in the patient's medical record and such record shall include documentation of action taken to minimize any disruptive effects of such transfer, except a patient who is a Medicaid recipient may be transferred from a private room to a nonprivate room, provided no patient may be involuntarily transferred from one room to another within the facility if (A) it is medically established that the move will subject the patient to a reasonable likelihood of serious physical injury or harm, or (B) the patient has a prior established medical history of psychiatric problems and there is psychiatric testimony that as a consequence of the proposed move there will be exacerbation of the psychiatric problem which would last over a significant period of time and require psychiatric intervention; and in the case of an involuntary transfer

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from one room to another within the facility, the patient and, if known, the patient's legally liable relative, guardian or conservator or a person designated by the patient in accordance with section 1-56r, is given at least thirty days' and no more than sixty days' written notice to ensure orderly transfer from one room to another within the facility, except where the health, safety or welfare of other patients is endangered or where immediate transfer from one room to another within the facility is necessitated by urgent medical need of the patient or where a patient has resided in the facility for less than thirty days, in which case notice shall be given as many days before the transfer as practicable; (5) is encouraged and assisted, throughout the patient's period of stay, to exercise the patient's rights as a patient and as a citizen, and to this end, has the right to be fully informed about patients' rights by state or federally funded patient advocacy programs, and may voice grievances and recommend changes in policies and services to facility staff or to outside representatives of the patient's choice, free from restraint, interference, coercion, discrimination or reprisal; (6) shall have prompt efforts made by the facility to resolve grievances the patient may have, including those with respect to the behavior of other patients; (7) may manage the patient's personal financial affairs, and is given a quarterly accounting of financial transactions made on the patient's behalf; (8) is free from mental and physical abuse, corporal punishment, involuntary seclusion and any physical or chemical restraints imposed for purposes of discipline or convenience and not required to treat the patient's medical symptoms. Physical or chemical restraints may be imposed only to ensure the physical safety of the patient or other patients and only upon the written order of a physician that specifies the type of restraint and the duration and circumstances under which the restraints are to be used, except in emergencies until a specific order can be obtained; (9) is assured confidential treatment of the patient's personal and medical records, and may approve or refuse their release to any individual outside the facility, except in case of the patient's transfer to another health care institution or as required by law or third-party payment contract; (10)

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receives quality care and services with reasonable accommodation of individual needs and preferences, except where the health or safety of individual would be endangered, and is treated with consideration, respect, and full recognition of the patient's dignity and individuality, including privacy in treatment and in care for the patient's personal needs; (11) is not required to perform services for the facility that are not included for therapeutic purposes in the patient's plan of care; (12) may associate and communicate privately with persons of the patient's choice, including other patients, send and receive the patient's personal mail unopened and make and receive telephone calls privately, unless medically contraindicated, documented by the patient's physician in the patient's medical record, and receives adequate notice before the patient's room or roommate in the facility is changed; (13) is entitled to organize and participate in patient groups in the facility and to participate in social, religious and community activities that do not interfere with the rights of other patients, unless medically contraindicated, as documented by the patient's physician in the patient's medical records; (14) may retain and use the patient's personal clothing and possessions unless to do so would infringe upon rights of other patients or unless medically contraindicated, as documented by the patient's physician in the patient's medical record; (15) is assured privacy for visits by the patient's spouse or a person designated by the patient in accordance with section 1-56r and, if the patient is married and both the patient and the patient's spouse are inpatients in the facility, they are permitted to share a room, unless medically contraindicated, as documented by the attending physician in the medical record; (16) is fully informed of the availability of and may examine all current state, local and federal inspection reports and plans of correction; (17) may organize, maintain and participate in a patient-run resident council, as a means of fostering communication among residents and between staff, encouraging resident independence and residents and addressing the basic rights of nursing home and chronic disease hospital patients and residents, free from administrative interference

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119 or reprisal; (18) is entitled to the opinion of two physicians concerning 120 the need for surgery, except in an emergency situation, prior to such 121 surgery being performed; (19) is entitled to have the patient's family or 122 a person designated by the patient in accordance with section 1-56r 123 meet in the facility with the families of other patients in the facility to 124 the extent the facility has existing meeting space available which meets 125 applicable building and fire codes; (20) is entitled to file a complaint 126 with the Department of Social Services and the Department of Public 127 Health regarding patient abuse, neglect or misappropriation of patient 128 property; (21) is entitled to have psychopharmacologic drugs 129 administered only on orders of a physician and only as part of a 130 written plan of care developed in accordance with Section 1919(b)(2) of 131 the Social Security Act and designed to eliminate or modify the 132 symptoms for which the drugs are prescribed and only if, at least 133 independent annually, external consultant 134 appropriateness of the drug plan; (22) is entitled to be transferred or 135 discharged from the facility only pursuant to section 19a-535 or section 136 19a-535b of the 2008 supplement to the general statutes, as applicable; 137 (23) is entitled to be treated equally with other patients with regard to 138 transfer, discharge and the provision of all services regardless of the 139 source of payment; (24) shall not be required to waive any rights to 140 benefits under Medicare or Medicaid or to give oral or written 141 assurance that the patient is not eligible for, or will not apply for 142 benefits under Medicare or Medicaid; (25) is entitled to be provided 143 information by the facility as to how to apply for Medicare or 144 Medicaid benefits and how to receive refunds for previous payments 145 covered by such benefits; (26) on or after October 1, [1990] 2008, shall 146 not be required to [give a third party guarantee of] bind or obligate a 147 third party for payment to the facility [as a condition of] in connection 148 with the admission to, or continued stay in, the facility; (27) in the case 149 of an individual who is entitled to medical assistance, is entitled to 150 have the facility not charge, solicit, accept or receive, in addition to any 151 amount otherwise required to be paid under Medicaid, any gift, 152 money, donation or other consideration as a precondition of admission

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or expediting the admission of the individual to the facility or as a requirement for the individual's continued stay in the facility; and (28) shall not be required to deposit the patient's personal funds in the facility.

(c) The patients' bill of rights shall provide that a patient in a rest home with nursing supervision or a chronic and convalescent nursing home may be transferred from one room to another within a facility only for the purpose of promoting the patient's well-being, except as provided pursuant to subparagraph (C) or (D) of this subsection or subsection (d) of this section. Whenever a patient is to be transferred, the facility shall effect the transfer with the least disruption to the patient and shall assess, monitor and adjust care as needed subsequent to the transfer in accordance with subdivision (10) of subsection (b) of this section. When a transfer is initiated by the facility and the patient does not consent to the transfer, the facility shall establish a consultative process that includes the participation of the attending physician, a registered nurse with responsibility for the patient and other appropriate staff in disciplines as determined by the patient's needs, and the participation of the patient, the patient's family, a person designated by the patient in accordance with section 1-56r or other representative. The consultative process shall determine: (1) What caused consideration of the transfer; (2) whether the cause can be removed; and (3) if not, whether the facility has attempted alternatives to transfer. The patient shall be informed of the risks and benefits of the transfer and of any alternatives. If subsequent to the completion of the consultative process a patient still does not wish to be transferred, the patient may be transferred without the patient's consent, unless medically contraindicated, only (A) if necessary to accomplish physical plant repairs or renovations that otherwise could not be accomplished; provided, if practicable, the patient, if the patient wishes, shall be returned to the patient's room when the repairs or renovations are completed; (B) due to irreconcilable incompatibility between or among roommates, which is actually or potentially harmful to the well-being of a patient; (C) if the facility has two vacancies available for patients of

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the same sex in different rooms, there is no applicant of that sex pending admission in accordance with the requirements of section 19a-533 and grouping of patients by the same sex in the same room would allow admission of patients of the opposite sex, which otherwise would not be possible; (D) if necessary to allow access to specialized medical equipment no longer needed by the patient and needed by another patient; or (E) if the patient no longer needs the specialized services or programming that is the focus of the area of the facility in which the patient is located. In the case of an involuntary transfer, the facility shall, subsequent to completion of the consultative process, provide the patient and the patient's legally liable relative, guardian or conservator if any or other responsible party if known, with at least fifteen days' written notice of the transfer, which shall include the reason for the transfer, the location to which the patient is being transferred, and the name, address and telephone number of the regional long-term care ombudsman, except that in the case of a transfer pursuant to subparagraph (A) of this subsection at least thirty days' notice shall be provided. Notwithstanding the provisions of this subsection, a patient may be involuntarily transferred immediately from one room to another within a facility to protect the patient or others from physical harm, to control the spread of an infectious disease, to respond to a physical plant or environmental emergency that threatens the patient's health or safety or to respond to a situation that presents a patient with an immediate danger of death or serious physical harm. In such a case, disruption of patients shall be minimized; the required notice shall be provided within twenty-four hours after the transfer; if practicable, the patient, if the patient wishes, shall be returned to the patient's room when the threat to health or safety which prompted the transfer has been eliminated; and, in the case of a transfer effected to protect a patient or others from physical harm, the consultative process shall be established on the next business day.

(d) Notwithstanding the provisions of subsection (c) of this section,
unless medically contraindicated, a patient who is a Medicaid recipient

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may be transferred from a private to a nonprivate room. In the case of such a transfer, the facility shall (1) give at least thirty days' written notice to the patient and the patient's legally liable relative, guardian or conservator, if any, a person designated by the patient in accordance with section 1-56r or other responsible party, if known, which notice shall include the reason for the transfer, the location to which the patient is being transferred and the name, address and telephone number of the regional long-term care ombudsman; and (2) establish a consultative process to effect the transfer with the least disruption to the patient and assess, monitor and adjust care as needed subsequent to the transfer in accordance with subdivision (10) of subsection (b) of this section. The consultative process shall include the participation of the attending physician, a registered nurse with responsibility for the patient and other appropriate staff in disciplines as determined by the patient's needs, and the participation of the patient, the patient's family, a person designated by the patient in accordance with section 1-56r or other representative.

(e) [Any facility that negligently deprives a patient of any right or benefit created or established for the well-being of the patient by the provisions of this section shall be liable to such patient in a private cause of action for injuries suffered as a result of such deprivation. Upon a finding that a patient has been deprived of such a right or benefit, and that the patient has been injured as a result of such deprivation, damages shall be assessed in the amount sufficient to compensate such patient for such injury.] The rights or benefits specified in subsections (b), (c) and (d) of this section are inalienable and may not be reduced, rescinded or abrogated by contract. Any facility that fails to comply with any provision of this section with respect to any patient shall be liable to such patient in a private cause of action for damages in an amount equal to the sum of (1) any actual damage sustained by such patient as a result of such failure, (2) such additional damages as the court may allow, not to exceed one thousand dollars, and (3) in the case of any successful cause of action to enforce liability under the provisions of this section, the costs of the

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255 action and reasonable attorney's fees as determined by the court. In addition, where the [deprivation of any such right or benefit] failure is 256 257 found to have been wilful or in reckless disregard of the rights of the 258 patient, punitive damages may be assessed. A patient may also 259 maintain an action pursuant to this section for any other type of relief, including injunctive and declaratory relief, permitted by law. 260 261 Exhaustion of any available administrative remedies shall not be 262 required prior to commencement of suit under this section.

(f) In addition to the rights specified in subsections (b), (c) and (d) of this section, a patient in a nursing home facility is entitled to have the facility manage the patient's funds as provided in section 19a-551.

This act sha sections:	ll take effect as follows	and shall amend the following
Section 1	October 1, 2008	19a-550

## Statement of Purpose:

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To provide greater legal protection for patients in nursing homes.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]